

Summary of Internal Audit Service work at 30 October 2015

Appendix 1

Department	Audit Name	Final Report Issued (or position @ 30/10)	Audit Opinion	HI Rec
Adults & Communities	Libraries Transfer - due diligence	30/10/15	Substantial Assurance	
Adults & Communities	Debt Collection Changes (PwC)	30/10/15	Substantial Assurance	
Adults & Communities	Wigston Library & Learning Centre	Draft issued	Advisory	
Chief Executives	Better Care Fund incl role of Health & Wellbeing Board - phase 2	15/09/15	Substantial Assurance	
Children & Family Services	Albert Village Primary	16/09/15	Substantial Assurance	
Children & Family Services	All Saints CE Primary School Sapcote	Draft issued	Substantial Assurance	
Children & Family Services	Ashby-de-la-Zouch CE Primary School	Draft issued	Substantial Assurance	
Children & Family Services	Barwell Infant School	Draft issued	Substantial Assurance	
Children & Family Services	Greenfield Primary School	Draft issued	Substantial Assurance	
Children & Family Services	Hallbrook Primary	21/09/15	Substantial Assurance	
Children & Family Services	Hathern CE Primary School	30/10/15	Substantial Assurance	
Children & Family Services	Maplewell Hall School	Draft issued	Partial Assurance	No
Children & Family Services	Sherard Primary School	21/09/15	Substantial Assurance	
Children & Family Services	St Bartholomew CofE Primary	18/09/15	Substantial Assurance	
Children & Family Services	St Edwards CE Primary School	Draft issued	Substantial Assurance	
Children & Family Services	Ullesthorpe CE Primary Full Audit	03/09/15	Substantial Assurance	
Children & Family Services	Westfield Infant School	11/09/15	Substantial Assurance	
Children & Family Services	Supporting Leicestershire's Families - Claims audit	28/09/15	Substantial Assurance	
Consolidated Risk	Customer Services	28/05/15	Substantial Assurance	
Consolidated Risk	Incident and Problem Management	Draft issued	Substantial Assurance	
Consolidated Risk	Oracle - Self Serve applications	25/06/15	Substantial Assurance	
Corporate Resources	Information Sharing - Framework Design & Governance	12/08/15	Substantial Assurance	
Corporate Resources	ISRA ¹ – Egress Switch	21/10/15	Substantial Assurance	
Corporate Resources	ISRA ¹ - Capita One - Caseworker App for iPad	26/10/15	Substantial Assurance	

¹ ISRA - Information Security Risk Assessment

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<u>Consulting/Advisory audits</u>				
Consolidated Risk	emPSN			
Consolidated Risk	Property Asset Management System - Governance			
Consolidated Risk	PSN Accreditation			
Consolidated Risk	Virtual Desktop Integration (VDI) Deliverable			
Corporate Resources	Disaster Recovery - ICT			
Corporate Resources	ICT Policies & Procedures			